CHAPTER 4

Workplace Communication

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and
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Learning Outcomes
After completing this chapter, the student should be able to:
1. Describe the communication process.
2. Understand the importance of feedback in the communication process.
3. Understand various verbal and nonverbal methods of communication.
4. Understand the common barriers to communication.
5. Utilize various methods to overcome communication barriers.

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6. Discuss the elements of effective communication for knowledge management.
7. Describe the various components for effective strategic communication.
8. Understand the flow of intraorganizational communication.
9. Understand the challenges of cross-cultural communication.
10. Understand the flow of communication with external stakeholders and the public sector.

## OVERVIEW

Fundamental and vital to all healthcare managerial functions, communication is a means of transmitting information and making oneself understood by another or others. Communication is a major challenge for managers because they are responsible for providing information, which results in efficient and effective performance in organizations. Communication is the creation or exchange of thoughts, ideas, emotions, and understanding between sender(s) and receiver(s). It is essential to building and maintaining relationships in the workplace.

Although managers spend most of their time communicating (e.g., sending or receiving information), one cannot assume that meaningful communication occurs in all exchanges (Dunn, 2002). Once a memo-randum, letter, fax, or e-mail has been sent, many are inclined to believe that communication has taken place. However, communication does not occur until information and understanding have passed between sender and the intended receiver.

To make oneself understood as intended is an important part of communication. A receiver may hear a sender but still not understand what the sender’s message means. Being constantly engaged in encoding and decoding messages does not ensure that a manager is an expert in communication. Understanding is a personal matter between people, and different people may interpret messages differently. If the idea received is not the one intended, communication has not taken place; the sender has merely spoken or written.

## COMMUNICATION PROCESS

Figure 4-1 illustrates the communication process. It shows that the sender is a person, department, or unit of an organization or system who originates the message. A sender uses words and symbols to put forth information into a message for the receiver, the individual(s) receiving the message. Messages are then received and decoded or interpreted by the receiver. Decoding is affected by the receiver’s prior experiences and frames of reference. Accurate decoding of the message by the receiver is
Critical to effective communication. The closer the decoded message gets to the intent of the sender, the more effective the communication. However, environmental and personal barriers can hamper the communication process. Details on barriers are described in a later section. To ensure messages are received as intended, feedback is a necessary component of the communication process. The receiver creates feedback to a message and encodes it before transmitting it back to the sender. The sender receives and decodes the feedback. Feedback is the destination’s reaction to a message (Certo, 1992). It is an important element of communication since it allows for information to be shared between the receiver and sender in a two-way communication.

**FEEDBACK**

*Feedback* is any information that individuals receive about their behavior. Feedback can be information related to the productivity of groups in an organization, or the performance of a particular individual. For instance, a manager requires feedback to determine staff acceptance of his or her newly set policy whereby staff must phone all patients to confirm their appointments 48 hours in advance of the appointments. Through the feedback process, senders and receivers may adjust their outputs as related to the transmitted information. In the absence of feedback, or in the case where the communication process does not allow for sufficient feedback to develop, or feedback is ignored, a certain amount of feedback will occur spontaneously and tends to take a negative form.

In one-way communication, a person sends a one-directional message without interaction. When a physician writes out a prescription and gives it to the nurse to hand to the patient, the physician’s order is an example...
of one-way communication and does not provide the opportunity for the patient to ask questions directly. A negative feedback may occur when the patient expresses frustration or anger through verbal or nonverbal messages when the physician does not directly explain the necessity and functions of the medication. However, the same patient could express satisfaction and appreciation toward the nurse who explains the usefulness of the medication. In this case, the opportunity for feedback results in two-way communication between the patient and the nurse. Two-way communication is more accurate and information-rich when the message is complex, although one-way communication is more efficient, as in the case of the physician’s written prescription.

To be effective, communication must allow opportunities for feedback. Feedback can take several forms, each with a different intent. Keyton (2002) provides us with three different forms of feedback: descriptive, evaluative, and prescriptive.

- **Descriptive feedback.** Feedback that identifies or describes how a person communicates. For instance, Manager A asks Manager B to comment on her behavior at a staff meeting. B indicates that A was specific, clear, and instructive on introducing the staff to the computer database for managing patient accounts. B provides a descriptive feedback of A’s behavior at the staff meeting.

- **Evaluative feedback.** Feedback that provides an assessment of the person who communicates. In the above case, if Manager B evaluates Manager A’s behavior and concludes that she is instructive and helpful, and that causes the staff to feel comfortable when going to A for help or asking questions, then B has provided positive evaluative feedback of A’s interaction with the staff.

- **Prescriptive feedback.** Feedback that provides advice about how one should behave or communicate. For example, Manager A asks Manager B how she could have made changes to better communicate her message to her staff. B suggests for A to be friendlier and more cooperative by giving the staff specific times that A is available for help with the new computer database. This type of advice is prescriptive feedback.

In addition to forms and intent, there are also four levels of feedback. Feedback can focus on a group or an individual working with specific tasks or procedures. It can also provide information about relationships within the group or individual behavior within a group (Keyton, 2002).

- **Task or procedural feedback.** Feedback at this level involves issues of effectiveness and appropriateness. Specific issues that relate to task feedback include the quantity or quality of a group’s output. For instance, are patients satisfied with the new outpatient clinic? Did the group complete the project on time? Procedural feedback
refers to whether a correct procedure was used appropriately at the
time by the group.

- **Relational feedback.** Feedback that provides information about in-
terpersonal dynamics within a group. This level of feedback empha-
sizes how a group gets along while working together. It is effective
when it is combined with the descriptive and prescriptive forms of
feedback.

- **Individual feedback.** Feedback that focuses on a particular individ-
ual in a group. For example, is an individual in the group knowl-
edgeable? Does he or she have the skills helpful to this group? What
attitudes does he or she have toward the group as they work together
to accomplish their tasks? Is the individual able to plan and organize
within a schedule that contributes to the group’s goal attainment?

- **Group feedback.** Feedback that focuses on how well the group is per-
forming. Like the questions raised at the individual feedback level,
similar questions are asked for the group. Do team members within
the group have adequate knowledge to complete a task? Have they
developed a communication network to facilitate their objectives?

Feedback can be in the form of questionnaires, surveys, and audio or
videotapes of group interaction. It can also occur in activities such as mar-
ket research, client surveys, accreditation, and employee evaluations
(Liebler & McConnell, 2004). Feedback should be used to help a group
communicate more effectively by making group members identify with the
group and increase its efficacy. Feedback should not be viewed as a neg-
ative process. Instead, it should be used as a strategy to enhance goals, aware-
ness, and learning. As a managerial tool, feedback enables managers to
anticipate and respond to changes. Structured feedback enhances mana-
gerial planning and controlling functions. Because of the value of feedback,
managers should encourage feedback and evaluate it systematically.

**The Johari Window**

The process of feedback is also illustrated in the Johari Window, a useful
model for understanding the communication process, created by Joe Luft
and Harry Ingham (hence the name “Johari”) (Luft, 1984). The Johari
Window model improves an individual’s communication skills through
identifying one’s capabilities and limitations. As shown in Exhibit 4-1,
windowpane 1 is the open area in which information about you is known
both to you and to others. Tubbs (2001) described this area as the general
cocktail party conversation in which an individual willingly shares infor-
mation with others. For instance, at an office party, you reveal to your co-
workers that you do not drink alcohol due to health reasons. Windowpane
2 refers to a blind area in which others know information about you that
you are either unaware of or that you do unknowingly. As an example,
your colleagues know that you are a “close” talker, that you unconsciously stand too close to people while in conversation with them.

The third windowpane is the hidden area in which you have likes and dislikes that you are unwilling to share with others. This area includes all of your values, beliefs, fears, and past experiences that you would not wish to reveal. The last windowpane is the unknown. It is also an area of potential growth or self-actualization. It represents all the things that we have never tried, participated in, or experienced.

Increasing mutual understanding through feedback and disclosure allows an individual to increase the open area and reduce the blind, hidden, and unknown areas of oneself (McShane & Von Glinow, 2003). In the Johari Window, Luft (1984) argues for increasing the open area so that you and your co-workers are aware of your limitations. This is done by receiving more feedback from others and decreasing one’s blind area (windowpane 2), and reducing the hidden area (windowpane 3) through disclosing more about oneself. The combination of feedback and disclosure may also help to produce more information in the unknown area (windowpane 4).

The Johari Window can be used for opening the channels of communication. Open communication is important for improving employee morale and increasing worker productivity. Open communication allows supervisors and subordinates to openly discuss organization-related issues such as goals and conflicts. Nevertheless, Luft (1984) is cautious on the use of the Johari Window for all situations. He offers several guidelines for the appropriateness of self-disclosure. He recommends that self-disclosure is a function of an ongoing relationship. Timing and extent of disclosure are critical. A competent communicator knows when, with whom, and how much to disclose.

### Exhibit 4-1 The Johari Window

<table>
<thead>
<tr>
<th>Feedback</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Open Area (Known to self)</td>
</tr>
<tr>
<td>2. Blind Area (Unknown to self)</td>
</tr>
<tr>
<td>3. Hidden Area (Known to self)</td>
</tr>
<tr>
<td>4. Unknown Area (Unknown to self)</td>
</tr>
</tbody>
</table>

Another important component of the communication process is selecting the appropriate communication channel. It is the means by which messages are transmitted. There are two types of channels: verbal and non-verbal. The various channels of communication and the amount of information transmitted through each type are illustrated in Figure 4-2.

**Verbal Communication**

*Verbal communication* relies on spoken or written words to share information with others. Dialogue is a form of verbal communication. It is a discussion or conversation between people. It is a process in which participants are exposed to new information. The process involves a series of meetings of organizational members that represent different views on issues of mutual interest. According to Edgley and Robinson (1991), in order for dialogue to be successful, there are several fundamental principles: engage motivated people; use a facilitator and recorder to manage the process; have the group develop procedures and live by them; ensure confidentiality; let the process move at its own pace—don’t try to rush it; focus on understanding the issue and not on developing an end product; and allow time to get to know each other—have dinner before, during, or after a meeting. Successful dialogue between group members in an organization enhances communication.

There are different forms of verbal communication, which should be used for different situations. Face-to-face meetings are information-rich,
since they allow for emotions to be transmitted and immediate feedback to take place. Written communication is more appropriate for describing details, especially of a technical nature as in the example of monitoring a patient’s complex medical condition. Although traditional written communication had been considered slow, now with the development of electronic mail and computer-aided communication, written communication through these channels has dramatically improved efficiency (See Case Study 4-1: Are We Getting the Message Across?)

Case Study 4-1

Are We Getting the Message Across?

James Warick, Director of Physical Plant at Southern Hospital, e-mailed Diane Curtis, Director of Nursing, informing her of a leak in Operating Room 1 that must be shut down for repairs early next morning. Curtis forwarded the message to Joanne Messing, the operating room nurse supervisor on duty for the night shift. Messing, tired from a long night's work, handwrote a message and taped it on the bulletin board to the nurse supervisor in the day shift to switch the 8 a.m. operation from Room 1 to Room 8. David Swanson, the day shift nurse supervisor, arrived at 7:30 a.m. and found Dr. Roberts shouting that his patient was ready for surgery, but no rooms were available because Dr. Jones had already taken Room 8.

Discussion Questions
1. What were the channels of communication used by each person?
2. Should a different channel of communication have been used instead?
3. What can be done to resolve the problem?
4. What future policies should be put in place to prevent this from occurring again?

Computer-Aided Communication

Electronic mail has revolutionized the way we communicate. E-mail allows messages to be rapidly created, changed, saved, and sent to many people at the same time. One can select any part of the message to read and skip to important parts of the message. E-mail is a preferred channel for coordinating work and schedules. Messages can be clearly defined through concrete and specific instructions rather than abstract words or generalization. For example, an e-mail can be sent to all physicians indicating that a meeting starts promptly at 10 a.m.

There are several problems and limitations to electronic mail. The most obvious is information overload. E-mail users are overwhelmed by the number of messages received on a daily basis, of which many are unnecessary to the receiver. Moreover, e-mail messages are frequently carrying computer viruses, which have caused major damage to computers and in-
terruptions in work flow. Another problem with e-mail is its ineffectiveness to communicate emotion. Tones of messages are easily misinterpreted, causing misunderstandings between sender and receiver. Computer experts have even developed icons to represent emotions (emoticons) in e-mail messages. For instance, the symbol :-) or (;) means happy (Peck, 1997). E-mail also reduces politeness and respect for others. Flaming is the act of sending an emotionally charged message to others, especially before emotions subside. This common problem occurs frequently over e-mail, whereas a traditional letter provides time to cool down and have second thoughts. To reduce these e-mail problems, some have recommended training for communication on the Internet, called netiquette (Extejt, 1998). Netiquette rules include keeping e-mail messages to fewer than 25 lines and not sending sensitive issues through e-mail.

**Other Computer-Aided Communication**

In addition to e-mail technology, other forms of technology have infused healthcare organizations and directly enhance and impact the communication process. Coile (2002) describes several such technological advancements that can be used to bridge the communications gap between clinicians and administrators. Computer-aided drug discovery is expected to double the number of new medications. High-speed, high-definition images are created for rapid access via telemedicine. Wireless, handheld digital electronic medical records are capable of voice recognition. Telepresence surgery with minimally invasive, remotely guided instruments extends beyond the precision of humans. Medical applications of artificial intelligence are designed for diagnosis, treatment planning, and continuous monitoring of the chronically ill (Coile, 2002).

**Nonverbal Communication**

*Nonverbal communication* is sharing information without using words to encode messages. There are four basic forms of nonverbal communication: proxemics, kinesics, facial and eye behavior, and paralanguage (Nelson & Quick, 2003). *Proxemics* is the study of an individual’s perception and use of space. Territorial space and seating arrangement are two examples. For instance, to encourage cooperation, co-workers working together on a quality control report should sit next to each other. To facilitate communication, a manager should seat a subordinate at a 90 degree angle in order to discuss resolving staff complaints.

*Kinesics* refers to body language, which is used to convey meanings and messages. Pacing or drumming fingers are signs of nervousness. Wringing of the hands and rubbing temples signal stress. *Facial and eye behavior* is another example of nonverbal communication. For example, when a healthcare manager interviews a candidate for a position as a clinical care coordinator, the manager attaches meanings to frowns and eye contact. Avoiding eye contact tends to close communication. However,
cultural and individual differences influence appropriate eye contact. Moderate direct eye contact communicates openness, while too much direct eye contact can be intimidating. *Paralanguage* consists of voice quality, volume, speech rate, and pitch. Rapid and loud speech may be taken as signs of anger or nervousness. The communication process is impeded by negative nonverbal cues. For example, arriving late for an interview with the vice president of finance, talking very fast, avoiding eye contact, getting very close during a conversation or in a seating arrangement for a committee meeting serve as negative factors in the communication process.

To determine the most appropriate channel of communication for sending messages, one needs to identify whether verbal or nonverbal communication should be used. At the same time, ideal channels of communications can be selected through an examination of the information richness and symbolic meaning of messages (Daft & Lengel, 1984). Information richness refers to the volume and variety of information that can be transmitted. As shown in Figure 4-2 (page 83), face-to-face meetings have the highest information-carrying capability, because the sender can use verbal and nonverbal communication channels and the receiver can provide instant feedback. When a wrong channel of communication is used, this creates a waste of time and leads to more misunderstanding. When communication is nonroutine or unclear, information rich channels are required. As an example, a gunshot victim is brought into the trauma center. Coordinating the care of this patient requires face-to-face instructions to quickly coordinate work flow and minimize the risk of confusion among various staff members. However, for routine communications, less information-rich channels can be used.

Choosing one communication channel over another lends meaning to the message. That is to say, there is symbolic meaning to the selection of a particular channel of communication beyond the message content. For example, when a manager tells an employee that they must have a face-to-face meeting, this symbolizes the issue is important compared to a brief e-mail message with instructions.

In summary, one essential part of the communication process is selecting an ideal channel of communication. The use of different channels leads to differences in the amount and variety of information transmitted. Choosing an appropriate channel of communication involves understanding symbolic meanings and the information richness of messages.

### BARRIERS TO COMMUNICATION

As illustrated in Figure 4-1 (page 79), several forms of barriers can impede the communication process. Longest, Rakich, and Darr (2000) classify these barriers into two categories: environmental and personal. *Environmental barriers* are characteristic of the organization and its environmental setting. *Personal barriers* arise from the nature of individu-
als and their interaction with others. Both barriers can block, filter, or distort the message as it is encoded and sent, as well as when it is decoded and received.

**Environmental Barriers**

Examples of environmental barriers include competition for attention and time between senders and receivers. Multiple and simultaneous demands cause messages to be incorrectly decoded. The receiver hears the message, but does not understand it. Due to inadequate attention paid to the message, the receiver is not really “listening.” *Listening* is a process that integrates physical, emotional, and intellectual inputs into the quest for meaning and understanding. Listening is effective only when the receiver understands the sender’s messages as intended. Thus, without engaging in active listening, the receiver fails to comprehend the message. Time is another barrier. Lack of time prevents the sender from carefully thinking through and thoroughly structuring the message accordingly, and limits the receiver’s ability to decipher the message and determine its meaning.

Other environmental barriers include the organization’s managerial philosophy, multiple levels of hierarchy, and power or status relationships between senders and receivers. Managerial philosophy can promote or inhibit effective communication. Managers who are not interested in promoting intraorganizational communication upward or disseminating information downward will establish procedural and organizational blockages. By requiring that all communication follow the chain of command, lack of attention and concern toward employees is a sign of a managerial philosophy that restricts communication flows. Furthermore, when subordinates encounter managers who fail to act, they are unwilling to communicate upward in the future, because communications are not taken seriously.

Managerial philosophy not only affects communication within the organization, but also impacts the organization’s communications with external stakeholders. For instance, when the chief executive officer (CEO) of one hospital becomes aware that patients might have been exposed to a dangerous infection while hospitalized, he immediately decides to cover up the incident and communicates that message down to his managers. However, another hospital CEO deals with this incident in a very different manner. She uses the public media as a channel of communication to encourage patients to come forward and be tested. These various reactions to similar events reflect different managerial philosophies about communication.

Multiple levels of hierarchy and complexities such as the size and degree of activity conducted in the organization tend to cause message distortion. As messages are transmitted up or down, they may be interpreted according to an individual’s personal frame of reference. When multiple
links exist in the communication chain, information could be misinterpreted. As a result, a message sent through many levels is likely to be distorted or even totally blocked. As an example, the CEO asked department administrators to relay his message of sincere congratulations and appreciation for their hard work to obtain their institutional Joint Commission on Accreditation of Healthcare Organizations accreditation status. The message went through several layers of the organization and was received in a more nonchalant manner than originally intended. In another scenario, a report generated by the management information system analyst was given to his supervisor, who went on vacation and left it on his desk without giving it to the vice president who had requested it a week ago. In this case, the message did not reach its destination.

Power or status relationships can also affect transmission of a message. An unharmonious supervisor–subordinate relationship can interfere with the flow and content of information. Moreover, a staff member’s previous experiences in the workplace may prevent open communication due to fear of negative sanctions as a result. For instance, a poor supervisor–subordinate relationship inhibits the subordinate from reporting that the project is not working as planned. Fear of the power and status of the manager is a common barrier to communication. Another environmental barrier that may lead to miscommunication is the use of specific terminology unfamiliar to the receiver or when messages are especially complex. Managers and clinical staff in healthcare organizations use medical terminology, which may be unfamiliar to external stakeholders. Communication between people who use different terminology can be unproductive simply because people attach different meanings to the same words. Thus, misunderstanding can occur due to unfamiliar terminology.

**Personal Barriers**

Personal barriers arise due to an individual’s frame of reference or beliefs and values. They are based on one’s socioeconomic background and prior experiences and shape how messages are encoded and decoded. One may also consciously or unconsciously engage in selective perception or be influenced by fear or jealousy. For example, some cultures believe in “don’t speak unless spoken to” or “never question elders” (Longest et al., 2000). These inhibit communication. Others accept all communication at face value without filtering out erroneous information. Still others provide self-promotion information, intentionally transmitting and distorting messages for personal gain. Unless one has had the same experiences as others, it is difficult to completely understand their message. In addition to frame of reference, one’s beliefs, values, and prejudices also can alter and block messages. Preconceived opinions and prejudices are formed based on varying personalities and backgrounds. As discussed
in Chapter 3, selective perception is a tendency for retaining positive parts of the message and filtering out negative portions.

Two additional personal barriers are status quo and evaluating the sender to determine whether one should retain or filter out messages. For instance, a manager always ignores the complaints from Melissa, the medical receptionist, because Melissa tends to exaggerate issues and events. However, one must be careful to evaluate and distinguish exaggerations from legitimate messages. Status quo is when individuals prefer the present situation. They intentionally filter out information that is unpleasant. For example, a manager refuses to tell staff and patients that their favorite physician, Dr. Ames, has decided to leave the practice. To prevent patients from switching to another physician, the manager postpones the communication to retain status quo.

A final personal barrier is lack of empathy, in other words, insensitivity to the emotional states of senders and receivers. In the case where a physician demands that his assistants hurry and clean up the rooms because 50 patients are waiting, the assistants should empathize with the physician and understand that the physician is under stress and pressure to see his patients who are complaining that they have been waiting over three hours.

### OVERCOMING BARRIERS TO IMPROVE COMMUNICATION

Recognizing that environment and personal barriers exist is the first step to effective communication. By becoming cognizant of their existence, one can consciously minimize their impact. However, positive actions are needed to overcome these barriers (see Table 4-1).

Longest and coauthors (2000) provide us with several guidelines for overcoming barriers:

1. Environmental barriers are reduced if receivers and senders ensure that attention is given to their messages and that adequate time is devoted to listening to what is being communicated.
2. A management philosophy that encourages the free flow of communication is constructive.
3. Reducing the number of links (levels in the organizational hierarchy or steps between the sender in the healthcare organization and the receiver who is an external stakeholder) reduces opportunities for distortion.
4. The power/status barrier can be removed by consciously tailoring words and symbols so that messages are understandable; reinforcing words with actions significantly improves communication among different power/status levels.
5. Using multiple channels to reinforce complex messages decreases the likelihood of misunderstanding.
Personal barriers to effective communication are reduced by conscious efforts of senders and receivers to understand each other’s values and beliefs. One must recognize that people engage in selective perception and are prone to jealousy and fear. Sharing empathy with those to whom messages are directed is the best way to increase effective communication.

Communicating effectively among a complex, multi-site healthcare system is challenging. Barriers may be difficult to overcome. Porter (1985) offers several approaches for achieving effective linkages among business units in a diversified corporation and suggests ways in which managers can overcome some of these barriers.

### Table 4-1 Overcoming Barriers to Communication

<table>
<thead>
<tr>
<th>Barriers to Communication</th>
<th>Overcoming Barriers to Communication</th>
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</thead>
<tbody>
<tr>
<td>Environmental Barriers</td>
<td></td>
</tr>
<tr>
<td>1. competition for time and attention</td>
<td>1. devote adequate time and attention to listening</td>
</tr>
<tr>
<td>2. multiple levels of hierarchy</td>
<td>2. reduce the number of links or levels of hierarchy</td>
</tr>
<tr>
<td>3. managerial philosophy</td>
<td>3. change philosophy to encourage the free flow of communication</td>
</tr>
<tr>
<td>4. power/status relationships</td>
<td>4. consciously tailor words and symbols and reinforce words with actions so that messages are understandable</td>
</tr>
<tr>
<td>5. organizational complexity</td>
<td>5. use multiple channels of community to reinforce complex messages</td>
</tr>
<tr>
<td>6. specific terminology</td>
<td>6. consciously define and tailor words and symbols and reinforce words with actions so that messages are understandable</td>
</tr>
<tr>
<td>Personal Barriers</td>
<td></td>
</tr>
<tr>
<td>1. frame of reference</td>
<td>1. consciously engage in efforts to be cognizant of other’s frame of reference and beliefs</td>
</tr>
<tr>
<td>2. beliefs</td>
<td>2. recognize that others will engage in selective perception, jealousy, fear, prejudices to help diminish the barriers</td>
</tr>
<tr>
<td>3. values</td>
<td>3. engage in empathy</td>
</tr>
<tr>
<td>4. prejudices</td>
<td></td>
</tr>
<tr>
<td>5. selective perception</td>
<td></td>
</tr>
<tr>
<td>6. jealousy</td>
<td></td>
</tr>
<tr>
<td>7. fear</td>
<td></td>
</tr>
<tr>
<td>8. evaluate the source (sender)</td>
<td></td>
</tr>
<tr>
<td>9. status quo</td>
<td></td>
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<tr>
<td>10. lack of empathy</td>
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</table>

1. Use techniques that extend beyond traditional organizational lines to facilitate communication. For instance, the use of diagonal communication that flows through task forces or committees enhances communication throughout the organization.

2. Use management processes that are cross-organizational rather than confined to functional or department procedures. Implementing management processes in the areas of planning, controlling, and managing information systems facilitate communication.

3. Use human resources policies and procedures (job training and job rotation) to enhance cooperation among members in organizations.

4. Use management processes to resolve conflicts in an equitable manner to produce effective communication.

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**EFFECTIVE COMMUNICATION FOR KNOWLEDGE MANAGEMENT**

Communication plays an important role in knowledge management. Employees are the organization’s brain cells, and communication represents the nervous system that carries information and shared meaning to vital parts of the organizational body. Effective communication brings knowledge into the organization and disseminates it to employees who require that information. Effective communication minimizes the “silos of knowledge” problem that undermines an organization’s potential and, in turn, allows employees to make more informed decisions about corporate actions. Effective communication is one of the most critical goals of organizations (Spillan, Mino, & Rowles, 2002). Recent research evidence suggests that an effective manager is one who spends considerable time on staffing, motivating, and reinforcing activities (Luthans, Welsh, & Taylor, 1988).

Shortell (1991) identified multiple key elements to effective communication in a model developed for physicians and hospital administration to improve their communication abilities to disseminate knowledge within the organization. The following summarizes these key elements:

- **An effective communicator must have a desire to communicate,** which is influenced both by one’s personal values and the expectation that the communication will be received in a meaningful way.

- **An effective communicator must have an understanding of how others learn,** which includes consideration of differences in how others perceive and process information (e.g., analytic vs. intuitive, abstract vs. concrete, verbal vs. written).

- **The receiver of the message should be cued as to the purpose of the message,** that is, whether the message is to provide information, elicit a response or reaction, or arrive at a decision.
The content, importance, and complexity of the message should be considered in determining the manner in which the message is communicated.

- The credibility of the sender affects how the message will be received.
- The time frame associated with the content of the message (long vs. short) needs to be considered in choosing the manner in which the message is communicated. More precise cues are needed with shorter time frames (see Figure 4-3).

A formula to evaluate an individual’s effectiveness in communicating to others can be calculated as shown in Exhibit 4-2.

The index of communication effectiveness is a percentage of the reaction to the intended message over the total number of messages sent. If managers find that their index of communication effectiveness is low over time, they should evaluate their communication processes to identify ways to make improvements (Certo, 1992). Research suggests that

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**Effective Communication**

- Purpose
- Content
- Sender
- Credibility
- Time Frame

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**Desire to communicate**

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**Effective Communication**

**Feedback**

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**Exhibit 4-2 An Index of Communication Effectiveness (ICE)**

\[
\text{Index of Communication Effectiveness} = \frac{\text{RIM (Reaction to Intended Message)}}{\text{TMS (Total Number of Messages Sent)}}
\]

to improve healthcare organizational communication and cohesion, exchanges between employees and leaders should involve leaders’ direct support and encouragement of employees’ constructive expressions of dissatisfaction and innovative ideas (Sobo & Sadler, 2002). (See Case Study 4-2: What Should We Do Now?)

Case Study 4-2

What Should We Do Now?

Jenny Taylor, Receptionist at Caring Physicians Clinic, was responsible for calling patients to remind them of their appointments. Dr. Ann Ryan, Medical Director of the Clinic, found Jenny to be hardworking and pleasant to the patients. One morning, Dr. Ryan arrived and found Jenny crying in the supplies room, and when she questioned Jenny, Jenny sobbed that she had forgotten to order supplies for the past three months. Jenny had been borrowing supplies from the pediatrics office next door. Now, they were unwilling to lend her more. Jenny confessed that she had called once to the supply center and faxed a list of supplies over but had not followed through. This morning, Jenny called the supply center again and found out that they were out of business. Jenny told her immediate supervisor, Barbara Lakes, Patient Care Coordinator for the Clinic. Lakes fired Jenny for incompetence. In the meantime, patients were waiting and there were no clean sheets, gloves or gowns.

Discussion Questions

1. When was the beginning of the problem?
2. What should Jenny have done?
3. Using the elements of effective communication, discuss what should Dr. Ryan and Barbara Lakes do now?

STRATEGIC COMMUNICATION

Strategic communication is an intentional process of presenting ideas in a clear, concise, and persuasive way. A manager must make an intentional effort to master communication skills and use them strategically, that is, consistently with the organization’s values, mission, and strategy. To plan strategic communication, managers must develop a methodology for thinking through and effectively communicating with superiors, staff, and peers. Sperry and Whiteman (2003) provide us with a strategic communication plan, which consists of five components.

1. **Outcome.** The specific result that an individual wants to achieve.
2. **Context.** The organizational importance of the communication.
3. **Messages.** The key information that staff need to know.
4. Tactical reinforcement. Tactics or methods used to reinforce the message.
5. Feedback. The way the message is received and its impact on the individual, team, unit, or organization.

Strategic communication requires forethought about the purpose and outcome of the message. Managers must be able to link the needs of the staff to the organization's mission and deadlines.

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**FLOWS OF INTRAORGANIZATIONAL COMMUNICATION**

Communication can flow upward, downward, horizontally, and diagonally within organizations. Upward communication occurs between supervisors and subordinates. Downward communication primarily involves passing on information from supervisors to subordinates. Horizontal flow is from manager to manager or from co-worker to co-worker. Diagonal flow occurs between different levels of different departments. Longest et al. (2000) provides us with several forms of intraorganizational communication for healthcare organizations.

**Upward Flow**

The purposes of upward communication flow are to provide managers with information to make decisions, identify problem areas, collect data for performance assessments, determine staff morale, and reveal employee thoughts and feelings about the organization. Upward flow becomes especially important with increased organizational complexity. Therefore, managers must rely on effective upward communication and encourage it as integral parts of the organizational culture. Upward communication flow helps employees meet their personal needs, by allowing those in positions of lesser authority to express opinions and perceptions to those with higher authority. As a result, they make contributions to the organization, and participate in the decision making process. The hierarchical structure (chain of command) is the main channel for upward communications in healthcare organizations. To increase the effectiveness of upward communication, Luthans (1984) recommends the use of grievance procedures, open door policies, counseling, employee questionnaires, exit interviews, participative decision-making techniques, and the use of an ombudsperson.

- **Grievance procedure.** This allows employees to make an appeal upward beyond their immediate supervisor. It protects the individual from arbitrary action by their direct supervisor and encourages communication about complaints.
- **Open door policy.** The supervisor’s door is always open to subordinates. It is an invitation for subordinates to come in and talk to the superior about things that trouble them.
• **Counseling, questionnaires, and exit interviews.** The department of human resources in a healthcare organization can facilitate subordinate-initiated communication by conducting confidential counseling, administering attitude questionnaires, and holding exit interviews for those leaving the organization. Information gained from these forms of communication can be used to make improvements.

• **Participative decision-making techniques.** Through the use of informal involvement of subordinates or formal participation programs such as quality improvement teams, union–management committees, and suggestion boxes, participative techniques can improve employee performance and satisfaction. Since employees can participate in the decision-making process, they feel that they can make valuable contributions to the organization.

• **Ombudsperson.** The use of an ombudsperson provides an outlet for persons who feel they have been treated unfairly.

In upward communication, subordinates can provide two types of information to supervisors: (1) personal information about ideas, attitudes, and performance and (2) technical information to provide feedback. Managers who encourage feedback enhance upward flow of communication.

**Downward Flow**
Downward communication involves passing information from supervisors to subordinates. This includes verbal and nonverbal communication, such as instructions for completing tasks, as well as communications on a one-to-one basis. Downward communications include meeting with employees, written memos, newsletters, bulletin boards, procedural manuals, and clinical and administration information systems.

**Horizontal Flow**
Upward and downward communications are inadequate for effective organizational performance. In complex healthcare organizations, horizontal flow or lateral communication must also occur. The purpose of lateral communication is the sharing of information among peers at similar levels to keep organizational staff informed of all current practices, policies, and procedures (Spillan et al., 2002). For example, coordinating the continuum of patient care requires communication among multiple units. Furthermore, committees, task forces, and cross-functional project teams are all useful forms of horizontal communication.

**Diagonal Flow**
The least used channel of communication in healthcare organizations is diagonal flow. Diagonal flows are growing in importance. While diagonal
flow does not follow the typical hierarchical chain of command, diagonal flow is especially useful in health care for efficient communication and coordination of patient care. For example, diagonal communication occurs when the director of nursing asks the data analyst in the medical records department to generate a medical records report for the month on all patients in the intensive care unit. (See Case Study 4-3: Communication Flows.)

Case Study 4-3

Communication Flows

Sara Lang is a charge nurse at Sunny Nursing Home and has worked under the same president, Lisa Davis, for five years. In fact, the two have become good friends. They frequently socialize after hours. Rick Walters, Director of Nursing, is a capable person who has been working there for three years. Four nurses (Anna, Barbara, Charles, and Dan) report directly to Sara.

Anna, one of the nurses, was having personal difficulties. She asked Sara if she could change her work schedule from the usual 8-hour shift of 4 days with 3 consecutive days off to 16-hour shifts for two days and 5 consecutive days off. Sara thought that was not a problem and told Anna that she would enter that information into the computerized scheduling system, and that she would tell Lisa Davis of the change, since they were getting together for a drink after work.

Barbara overheard the conversation between Sara and Anna, and she immediately went to see Rick Walters and complained that Anna was getting preferential treatment and she wanted the same schedule. Rick, who always wanted to make sure that the nursing staff were happy and got along, approved Barbara’s change in schedule. He made this change through the computerized schedule and did not tell anyone else. Barbara, who is good friends with Charles, told him of her new schedule. Charles, who works closely with Chief of Staff, Dr. Goodman, told Dr. Goodman of the change in Barbara’s schedule and asked Dr. Goodman to change his. Dr. Goodman thought it was a good idea and e-mailed Charles’ new schedule to his assistant, Susan Stevens, to enter it into the scheduling system.

On the next Monday morning, changes were implemented to Anna’s, Barbara’s, and Charles’ schedules. Yet, no one had discussed these changes with anyone else. When the schedule was printed out and posted, it showed that Anna, Barbara, and Charles were all off for 5 days that week from Monday to Friday and all three began work on Saturday. In the meantime, the only nurse left working was Dan.

Discussion Questions
1. What are the different forms of communication flow taking place?
2. What changes should have been implemented?
3. What should be done now?
COMMUNICATION NETWORKS

Flows of communication can be combined into patterns called communication networks. These networks are interconnected by communication channels. A communication network is the interaction pattern between and among group members. A network creates structure for the group because it controls who can and should talk to whom (Keyton, 2002). Groups generally develop two types of communication networks: centralized and decentralized (Figure 4-4).

Decentralized networks allow each group member to talk to every other group member without restrictions. An open, all-channel or decentralized network is best used for group discussions, decision making, and problem solving. The all-channel network tends to be fast and accurate compared with the centralized network such as the chain or Y-pattern networks (Longest et al., 2000). Nevertheless, a decentralized network can create communication overload, in which too much information or too complex communication may occur (Keyton, 2002). When a communication overload is produced, messages may conflict with each other and result in confusion or disagreement. To reduce communication overload, a facilitator should be used to monitor group discussions.

A centralized network restricts the number of people in the communication chain. In a group setting where a dominant leader takes over group discussions by controlling the number of messages and amount of information being passed, group members do not interact except through the leader. Such a network can create communication underload, in which too few or simple messages are transmitted. In this type of network, group members feel isolated from group discussions and generally feel dissatisfied. In the chain network, communication occurs upward and downward and follows line authority relationships. An example is a staff nurse who reports to the charge nurse, who reports to the director of nursing, who reports to the vice president for clinical services, and who finally reports to the CEO of a large hospital. This network delineates the chain of command and shows clear lines of authority.

Other types of centralized networks include the Y-pattern, the wheel, and the circle network. The Y-pattern is similar to the chain network, with its hierarchical structure, except it shows two employees at the same level who then follow the chain. An example is of two medical assistants in the division of transplant who report to the clinical administrator for the division, who reports to the clinical administrator for the department of surgery, who reports to the vice president of clinical services, who finally reports to the CEO of the hospital.

The wheel pattern shows four subordinates reporting to one supervisor. Subordinates do not interact, and all communications are channeled through the manager at the center of the wheel. This pattern is rare in healthcare organizations and systems, although elements of it can be found in the ex-
ample where four vice presidents report to a president if the vice presidents have little interaction. Even though this network pattern is not routinely used, it may be used when urgency or secrecy is required. For example, the president with an organizational emergency might communicate with the vice presidents in a wheel pattern because time does not permit using other modes. Similarly, if secrecy is important, such as when investigating pos-

Figure 4-4 Two Types of Communication Networks—Centralized and Decentralized
sible embezzlement, then the president may require that all relevant communication with the vice presidents be confidential. The wheel pattern works well when there is pressure for time, secrecy, and accuracy.

The circle pattern allows communicators in the network to communicate directly only with two others. Since each communicates with another in the network, there is no central authority or leader. The circle network works well when there are open channels of communication among all parties; however, it can also slow down the communication process to enable everyone access to information.

Although there are different communication networks, there is not one that works for all situations. Different forms can be applied under varying circumstances. To be effective, healthcare managers must be able to select appropriate flows of communication for specific situations. Identifying an ideal communication network is critical to successful communication. Since health problems range from simple to complex, simple problems can be easily resolved using simple networks. As an example, scheduling patient appointments for Dr. Davis can be easily accomplished through the superior–subordinate chain network. However, complex problems require many levels of decision making. For instance, whether Horizons Hospital should merge with its major competitor to gain more market share at the risk of making a major capital investment can be accomplished through the all-channel network, which is more useful and effective for tackling complex problems. Hellriegel and Slocum (2004) compared the five communication networks using four assessment criteria. Figure 4-5 shows the specific criteria when making a selection among the different types of networks.

1. **Degree of centralization.** This is the extent to which team members have access to more communication than others. In the case of the wheel network, because communication flows from and to only one member, this is the most centralized network. However, the all-channel network provides everyone in the network with the same opportunity for communication; thus, it is the least centralized network.

2. **Leadership predictability.** This is the ability to anticipate which member of the communication network is likely to emerge as the leader. In the case of the Y and wheel, the most centrally positioned individual is the most likely person.

3. **Average group satisfaction.** This reflects the level of satisfaction of members in the communication network. In the wheel network, average member satisfaction is the lowest compared to other networks, since the most centrally positioned person plays the most crucial roles and leaves small decision-making roles for those around the wheel.

4. **Range of individual member satisfaction.** The range of an individual’s satisfaction within the communication network shows an inverse relationship with the average group satisfaction. Again, in the wheel,
although average member satisfaction is low, the range of individual member satisfaction is high, because they are highly dependent on the individual in the middle. In the case of the all-channel, average group satisfaction is high since there is greater participation by all members of the communication network; yet, individual satisfaction is very low.

### INFORMAL COMMUNICATION

In addition to formal communication flows and networks within healthcare organizations, there are informal communication flows, which have their own networks. Employees have always relied on the oldest communication channel—the corporate grapevine. The grapevine is an unstructured and informal network founded on social relationships rather than organizational charts or job descriptions. According to some estimates, 75 percent of employees typically receive news from the grapevine before they hear about it through formal channels (McShane & Von Glinow, 2003).

Early research identified several unique features of the grapevine. It transmits information very rapidly in all directions (Newstrom & Davis, 1993). Figure 4-6 illustrates four common patterns that the grapevine can take.

The typical pattern is a cluster chain, whereby a few people actively transmit rumors to many others. The grapevine works through informal social networks, so it is more active where employees have similar backgrounds and are able to communicate easily. Many rumors seem to have at least a little bit of truth, possibly because rumors are transmitted through information-rich communication channels, and employees are
motivated to communicate effectively. Nevertheless, the grapevine distorts information by deleting fine details and exaggerating key points of the message.

In this era of information technology, e-mail and instant messaging have replaced the traditional water cooler site of grapevine gossip. Instead, networks have expanded as employees communicate with each other inside and outside of the organization instantly through computer-aided communication. Furthermore, public websites have become virtual water coolers for posting anonymous comments about specific companies for all to view. This technology extends gossip to anyone, not just employees connected to the social networks. A manager’s responsibility is to utilize the informal network selectively to benefit the organization’s goals. (See Case Study 4-4: Did You Hear the Latest?)

**Case Study 4-4**

_Single Strand_

_Gossip_

_Probability_

_Cluster_

**Figure 4-6** Grapevine Networks


Did You Hear the Latest?

Sally Reeds, a medical secretary for the department of neurology at Western Heights Hospital in Colorado, turned on her computer and found an e-mail from her friend and co-worker, Justin Zeels, a social worker in the same hospital. Justin wrote that Dr. Sites, Medical Director
of Neurology, was found under a bench outside the ER. The hospital security allegedly reported that Dr. Sites was completely intoxicated, and he was rushed home. Sally spiced up the tale and immediately e-mailed 10 of her friends. This morning, Sally looked up and saw Dr. Sites seeing his patients as if nothing had happened. She confronted him and asked him how he could possibly face everyone after what happened last night. Dr. Sites looked confused until a copy of Zeels’ e-mail was thrust into Dr. Sites’ hands by another staff member. After reading it, Dr. Sites became livid and fired Justin for spreading such a malicious rumor. Meanwhile, Maria Hummingshire, another medical secretary, who saw the entire incident, ran to her computer to e-mail the latest to her friends.

Discussion Questions
1. What did Sally do wrong?
2. What should Justin have done?
3. What should the organization do to prevent the spread of gossip through the grapevine?

CROSS-CULTURAL COMMUNICATION

Increasing information technology, globalization, and cultural diversity present a number of communication opportunities and challenges for organizations. Organizational personnel must be sensitive and competent in cross-cultural communication. While ethnic and racial diversity enriches the environment, it can also cause communication barriers and impede efficient and effective service delivery. Communication difficulties arise from differences in cultural values, languages, and points of view. For instance, in the healthcare industry, one major barrier is language, because as many as 20 languages may be encountered among staff and patients. In the United States, more than 25 percent of the population is foreign-born, and 15 percent speak a language at home other than English (Thiederman, 1996). Since language is the most obvious cross-cultural barrier, words can be easily misunderstood in verbal communication (Dutton, 1998). Although the English language is relied on as the common business language, English words may have different meanings in different cultures.

Voice intonation varies by country. For instance, in Japan, communicating softly is an expression of politeness, whereas in the Middle East, the opposite holds true, for the louder the voice, the more one is believed to be sincere (Mead, 1993). To achieve effective communication, healthcare professionals can apply several strategies to reduce communication barriers. Thiederman (1996) provides us with several verbal and non-verbal techniques to improve cross-cultural communication.

- Write down in simple English the issues that have been agreed upon in order to obtain feedback on accuracy.
• Repeat a message when there is doubt.
• Watch for nonverbal signs of a lack of understanding.
• Listen carefully to an entire message, especially when there is a foreign accent involved in the communication.
• Create a relaxed atmosphere so that tension is reduced to increase the flow of communication.
• Phrase questions in a different way to allow the sender the opportunity to respond, utilizing different words that may be easier for the receiver to understand.

**COMMUNICATING WITH EXTERNAL STAKEHOLDERS**

In healthcare organizations, managers must be competent communicators, because they spend most of their time and energy communicating with large numbers of external stakeholders, individuals, groups, and organizations that are interested in the healthcare organization’s actions and decisions. A competent communicator is an individual who has the ability to identify appropriate communication patterns in a given situation and to achieve goals by applying that knowledge. Competent communicators quickly learn the meaning that listeners take from certain words and symbols, and they know which communication channel is preferred in a particular situation. Moreover, competent communicators use this knowledge to communicate in ways to achieve personal, team, and organizational objectives. A manager with high communication competence would be better than others at determining whether an e-mail, telephone call, or personal visit would be the best approach to convey a message to an employee.

To competently communicate with external stakeholders, organizations and their managers are responsible for assessing the environment to gain information in order to make strategic decisions. Managers must utilize their roles as liaisons and monitors to scan the environment for opportunities and minimize threats. Furthermore, managers must utilize their strategist role to formulate and implement public policies that are consistent with their organization’s strategic goals and plans (Guo, 2003). **Exhibit 4-3** shows steps for analyzing stakeholders to increase the acquisition of useful information.

First, scanning the macro- and microenvironments results in information about stakeholders. In the case of one state’s Department of Health, shown in **Figure 4-7**, the diversity of stakeholders is illustrated (Ginter, Swayne, & Duncan, 1998).

The relationships and communications between the organization and its external stakeholders are complex since the organization is a dynamic, open system operating in a complex and turbulent external environment.
The size and variety of external stakeholders make communication complex, especially since stakeholders attempt to influence the decision making of organizations. Fottler, Blair, Whitehead, Laus, and Savage (1989) examined communication between a large hospital and its stakeholders and found different relationships. While some relationships are positive, others are neutral or negative. Positive relationships with external stakeholders are easier to manage, and communication tends to be more effective than negative relationships.

In the stakeholder analysis, important issues and stakeholders are identified through the environmental scan. Next, monitoring the activities of stakeholders is crucial. Managers must be able to take the views of stakeholders and use that information to incorporate trends into their decision-making process. Finally, managers must evaluate the value of the information, and take the information gathered and transmit it to those who need it.

Another way to describe communication with external stakeholders is called boundary spanning. Boundary spanning, or external communication links, provides opportunities for organizational learning in areas such as strategic planning or marketing (Johnson & Chang, 2000). Communicating with all external stakeholders is essential; however, each may be viewed for its unique position and benefits to the organization. For instance, in interactions with the public sector, healthcare organizations are affected by public policies. Government is a major stakeholder because of its legislative and regulatory powers and as one of the largest purchasers of health services. For example, issues such as access to care, cost containment, and quality concerns have driven federal government

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**Exhibit 4-3  Stakeholder Analysis**

1. Scan environment of organization (macroenvironment—economic, regulatory, social/cultural, political, demographics, competitive, technology) (microenvironment—healthcare industry)
2. Identify strategically important issues (i.e., identify important stakeholders)
3. Monitor these issues (track stakeholders’ views and positions)
4. Forecast trends (project trends in stakeholders’ views and positions)
5. Assess their importance (assess the implications of stakeholders’ views and positions)
6. Diffuse information (diffuse stakeholder information to those who need it)

debate and involvement in health care. Thus, healthcare organizations cannot be insulated from public policies and must make strategic responses to reflect the needs of the public sector. A healthcare organization holds a special relationship with the geographical community where the organization is located. Meeting the particular needs of the community is a primary goal of healthcare organizations. For effective communication to take place, realistic expectations must be formed by both parties. There are six areas of responsibility for healthcare organizations toward their communities (Longest et al., 2000). They include:

1. Engaging in the core, health-enhancing activities in the community.
2. Providing economic benefits to the community.
3. Offering unique benefits or a niche to the community.
4. Pursuing philanthropic activities in a broad and generous manner.
5. Being in full compliance with legal requirements.
6. Meeting ethical and fiduciary obligations.

**SUMMARY**

Communication in the workplace is critical to establishing and maintaining quality working relationships in organizations. Communication is the creation or exchange of thoughts, ideas, emotions, and understanding.
between sender(s) and receiver(s). Feedback is information that individuals receive about their behavior. Feedback can be used to promote more effective communication. The Johari Window is a model to improve an individual’s communication skills through identifying one’s capabilities and limitations. The channels of communication are the means by which messages are transmitted. Verbal communication relies on spoken or written words to share information with others. Computer-aided communication such as electronic mail has greatly enhanced the communication process. Especially in healthcare, other forms of technology such as high-speed, high-definition images, telemedicine, and wireless, hand-held digital electronic medical records can be used to bridge communications gaps between clinicians and administrators. Nonverbal communication is the sharing of information without using words to encode messages. This includes: proxemics, kinesics, facial and eye behavior, and paralanguage.

There are two types of barriers to communication: environmental and personal. Barriers can be overcome by conscious efforts to devote time and attention to communication, reduce hierarchical levels, tailor words and symbols, reinforce words with action, use multiple channels of communication, and understand each other’s frame of reference and beliefs.

Key elements of effective communication include: the desire to communicate, understanding how others learn, the intent, content, sender’s credibility, and time frame. Strategic communication is an intentional process of presenting ideas in a clear, concise, and persuasive way. Five components of strategic communication include outcome, context, messages, tactical reinforcement, and feedback.

Intraorganizational communication flows upward, downward, horizontally, and diagonally. The various flows can be combined to form communication networks, such as the chain, Y, wheel, circle, and all-channel. Certain networks work better than others in varying situations. A manager’s role is to determine the best network to use for simple or complex communications. Informal communication results from interpersonal relationships developed in the workplace. Although informal networks can be useful, they can also be misused.

Cross-cultural communication can be challenging. Communication difficulties arise from differences in cultural values, languages, and points of view. Organizational personnel must be sensitive and competent in cross-cultural communication. Several techniques for improving cross-cultural communication include: writing down the message, repeating it, listening to the entire message, asking questions using different words, and creating a relaxed atmosphere for communications.

Healthcare organizations must manage relationships with large numbers of external stakeholders, individuals, groups, and organizations that are interested in the organization’s actions and decisions. Effective communication with external stakeholders involves environmental assessments to enable managers to identify and make strategic decisions for their organizations.
End-of-Chapter Discussion Questions

1. What are the various components of the communication process?
2. What are the three forms and four levels of feedback?
3. What is the Johari window? How is it used in communication?
4. What is verbal communication? Give an example.
5. What are the different types of nonverbal communication?
6. What are the appropriate uses of verbal and nonverbal communication channels?
7. What are the two types of barriers to effective communication?
8. What methods are available to overcome these barriers?
9. What are the elements of effective communication?
10. What are the five components of a strategic communication plan?
11. What are the different forms of intraorganizational flows of communication?
12. What are the various networks available for formal and informal communication?
13. Why is cross-cultural communication important to today’s health services organizations?
14. What competencies are needed by managers for communicating with external stakeholders?

End-of-Chapter Case Studies

Case Study 1

Tri-Star Health Insurance Company

At Tri-Star Health, Paul Fisher, Director of Medical Cost Management, recently reconstructed the company’s internal codes used to process medical claims. This action not only changed the monetary value of certain codes, but it also altered the numeration assigned to a particular treatment drug. Fisher submitted all the documentation to alter these codes, but failed to submit an update to the physician representatives.

Fisher’s busy schedule hindered him from requesting a newsletter explaining how to use the new codes as well as their payment schedule. Shortly after the new codes were implemented, various physicians had their claims denied and subsequently were left unpaid. The contracted physicians were reasonably upset and sought financial settlement with interest for the company’s failure to update their provider network.
Discussion Questions
1. What should Fisher have done when he first decided to tackle this project?
2. What protocols should have been in place to avoid the present situation?
3. What positive resolution can be implemented in order to keep these contracted physicians with the plan?

Case Study 2

Good Work Goes Unrewarded

Iris Jones is the Associate Vice President of a large chain pharmaceutical company based in the northeastern part of the country. Recently her chief operating officer, Philip Walker, asked her to complete five high revenue-generating projects for the company. Her expedient completion of these projects would enable her to advance into a senior-level management position.

Determined to get a promotion, Jones handed these projects over to her very competent network team. The team was hesitant to work hard, for in the past Jones took all the credit and bonuses for herself, when they were the ones who accomplished all the tasks. Nonetheless, the team took on these new high profile projects and completed them with a very high success rate.

Months later as the profits began to rise, Jones was summoned into Walker’s office. When asked if her team contributed in any way to her successful projects, Jones simply answered “No” and took all the credit. Walker was planning to increase her team’s salary but felt no reason for it after Jones’ response.

Discussion Questions
1. What should the team have done before accepting Jones’ new projects?
2. Did the team have motive to jeopardize these high profile projects?
3. What do you think of Jones’ inability to highlight her employees’ meritorious work?

Case Study 3

Sunrise Hospital

Sunrise is a 300-bed general hospital located in northeastern New York. The last couple of months have been very stressful for its nursing personnel because of an increased volume of patients after the closing of its nearby competitor. The hospital acquired all its competitor’s workload and saw a significant rise in profit.

The associate managers were inundated with complaints from the nurses and requests to seek assistance from upper management. The man-
agers resisted informing upper management of this dilemma to prevent showing that they were unable to handle the new workload. Additionally, they did not know how to address the nurses’ concerns with upper management. After a couple of weeks of heavy work flow, the nurses decided in unison to go on strike on a very busy day in the hospital.

Upper management was stunned when they arrived at the hospital and saw all the nurses picketing outside their building.

Discussion Questions
1. What should the managers have done upon initially hearing of the nurses’ complaints?
2. What would you have done if placed in the positions of the associate managers?
3. What remedy can upper management have to facilitate fair hospital working conditions?

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